

# Real-Time Rendering (Echtzeitgraphik)



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# Shading and Lighting Effects



- Environment mapping
  - Cube mapping
  - Sphere mapping
  - Dual-paraboloid mapping
- Reflections, Refractions, Speculars, Diffuse (Irradiance) mapping
- Normal mapping
- Parallax normal mapping
- Advanced Methods

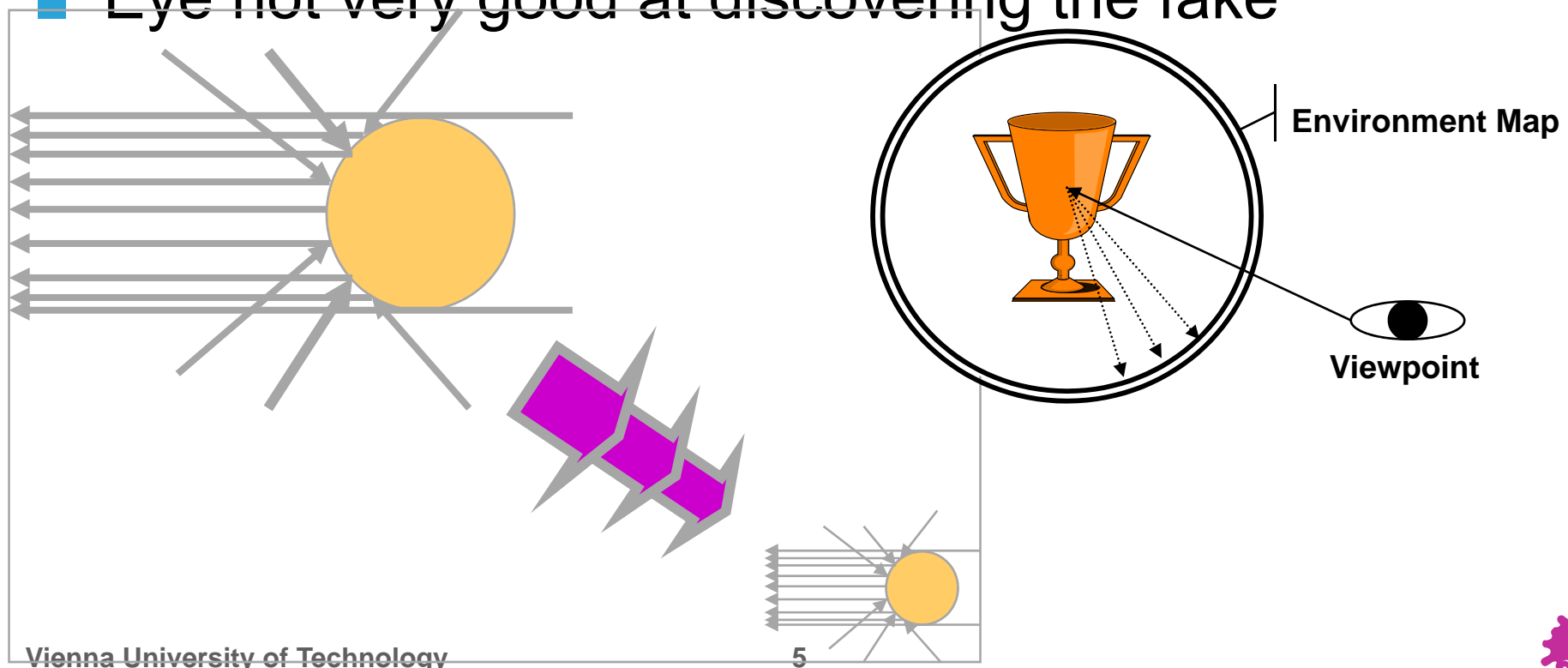


- Main idea: fake **reflections** using simple textures



# Environment Mapping

- Assumption: index envmap via **orientation**
  - Reflection vector or any other similar lookup!
- Ignore (reflection) position! True if:
  - reflecting object shrunk to a single point
  - OR: environment infinitely far away
- Eye not very good at discovering the fake

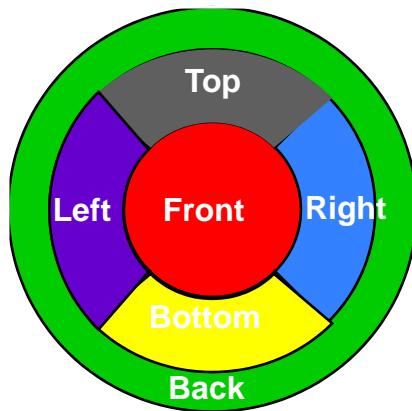


- Can be an “Effect”
  - Usually means: “fake reflection”
- Can be a “Technique” (i.e., GPU feature)
  - Then it means:  
“2D texture indexed by a 3D orientation”
  - Usually the index vector is the reflection vector
  - But can be anything else that’s suitable!

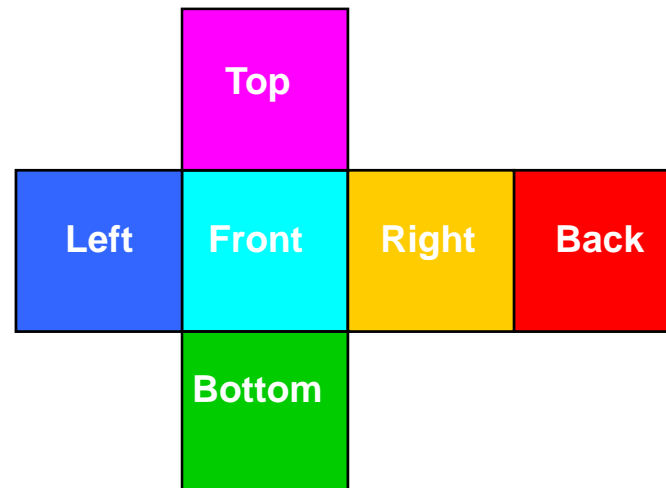


- Uses texture coordinate generation, multitexturing, new texture targets...
- Main task:  
Map all **3D orientations** to a 2D texture
- Independent of application to reflections

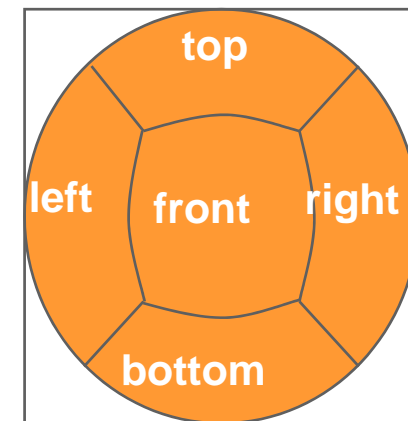
Sphere



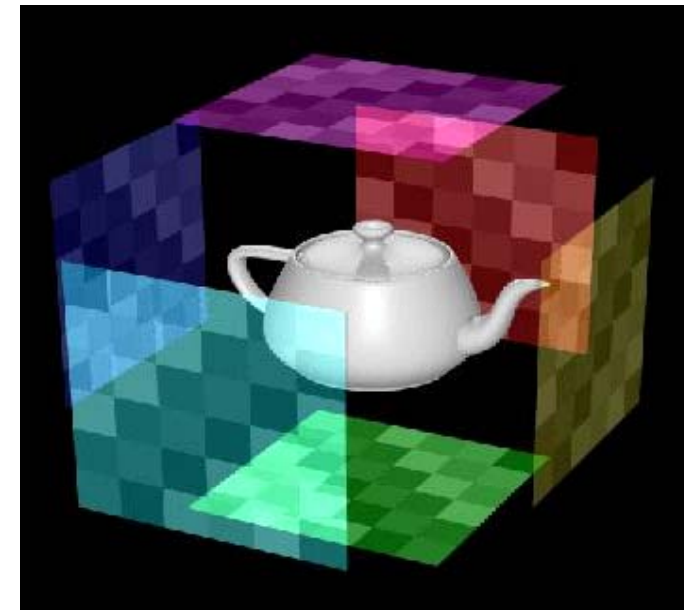
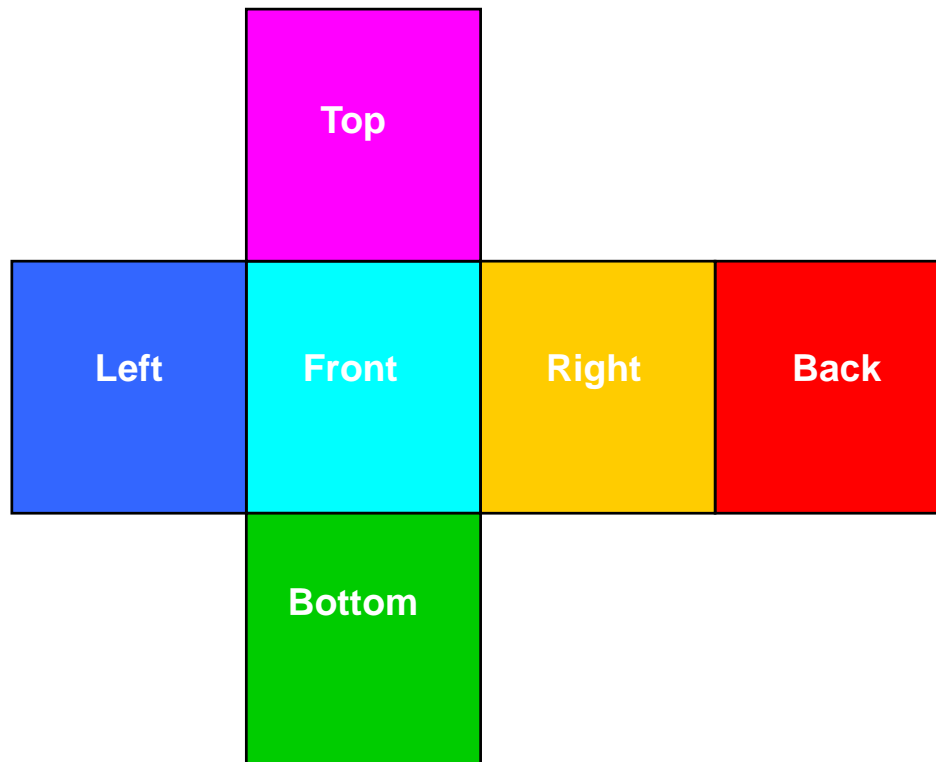
Cube



Dual paraboloid



## ■ OpenGL texture targets

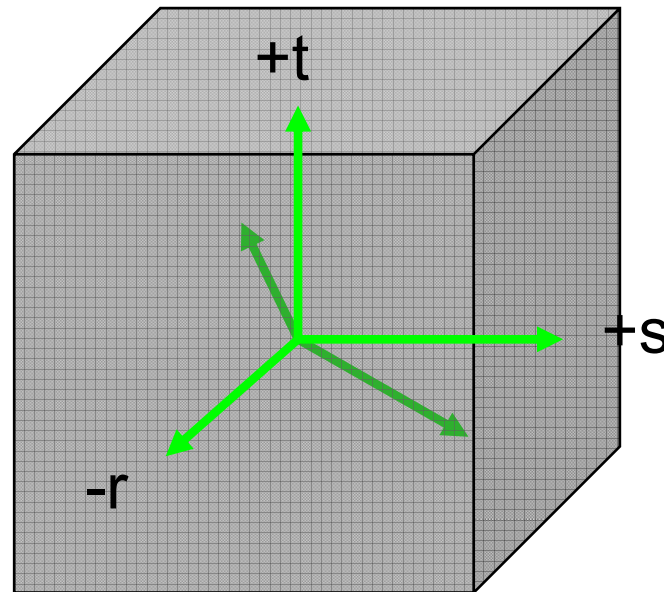


```
glTexImage2D(  
GL_TEXTURE_CUBE_MAP_POSITIVE_X, 0, GL_RGB8,  
w, h, 0, GL_RGB, GL_UNSIGNED_BYTE, face_px);
```





- Cube map accessed via *vectors* expressed as 3D texture coordinates (s, t, r)



- 3D  $\rightarrow$  2D projection done by hardware
  - Highest magnitude component selects which cube face to use (e.g., -t)
  - Divide other components by this, e.g.:
$$s' = s / -t$$
$$r' = r / -t$$
  - $(s', r')$  is in the range  $[-1, 1]$
  - remap to  $[0, 1]$  and select a texel from selected face
- Still need to *generate* useful texture coordinates for reflections

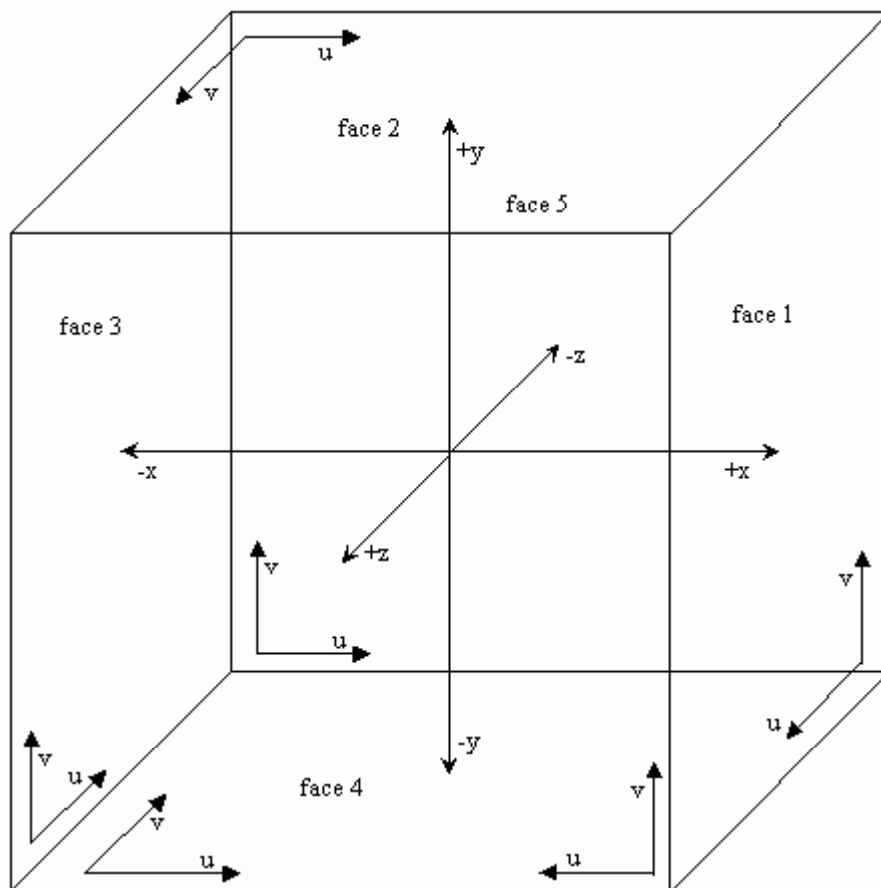


- Generate views of the environment
  - One for each cube face
  - 90° view frustum
  - Use hardware to render directly to a texture
- Use reflection vector to index cube map
  - Generated automatically on hardware:  
`glTexGeni(GL_S, GL_TEXTURE_GEN_MODE, GL_REFLECTION_MAP);`

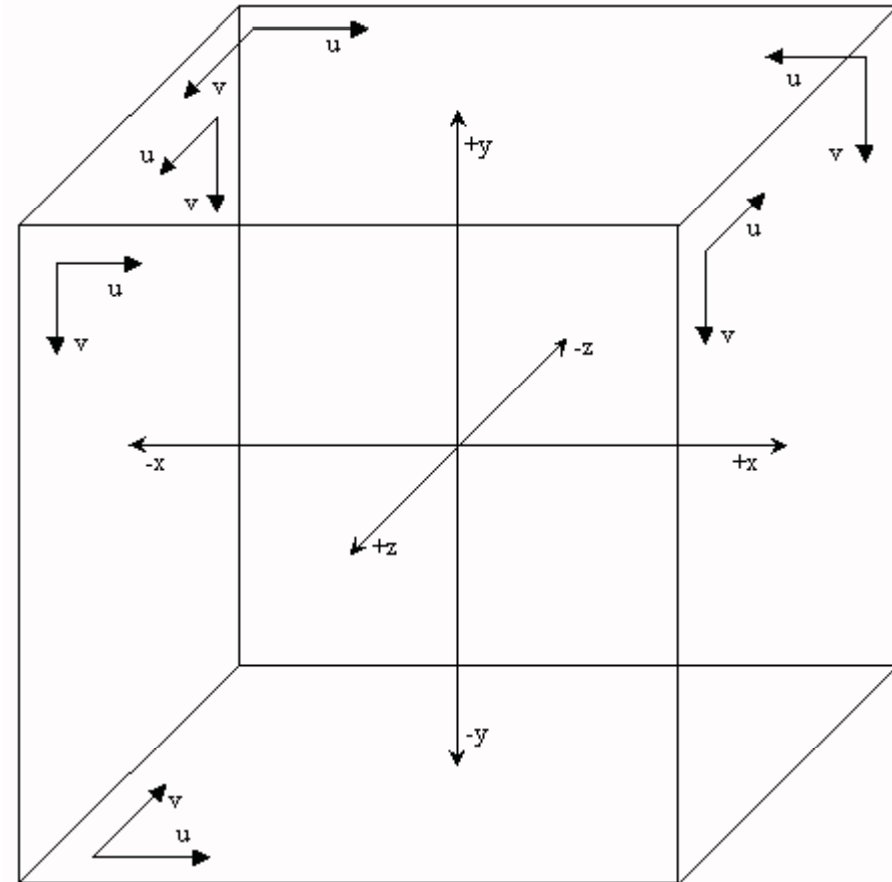


# Cube Map Coordinates

- Warning: addressing not intuitive (needs flip)



Watt 3D CG



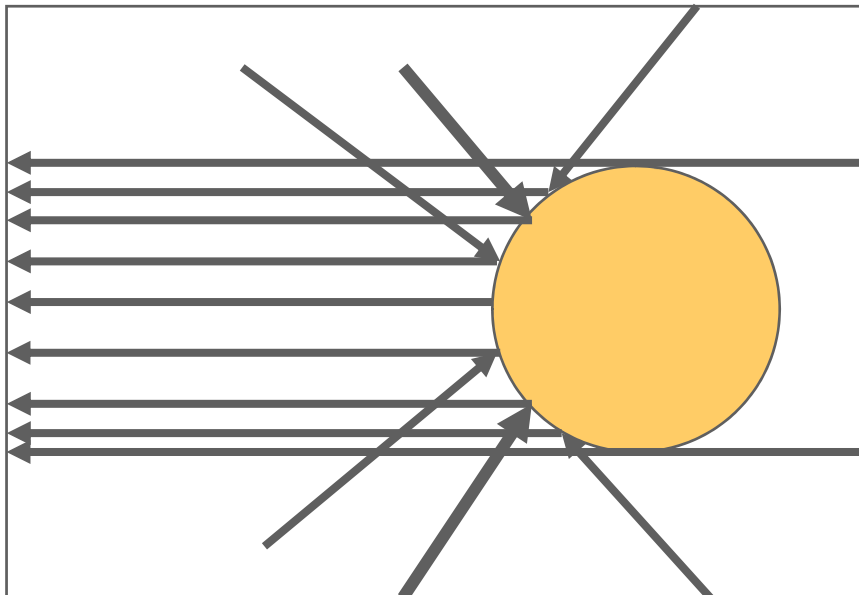
Renderman/OpenGL



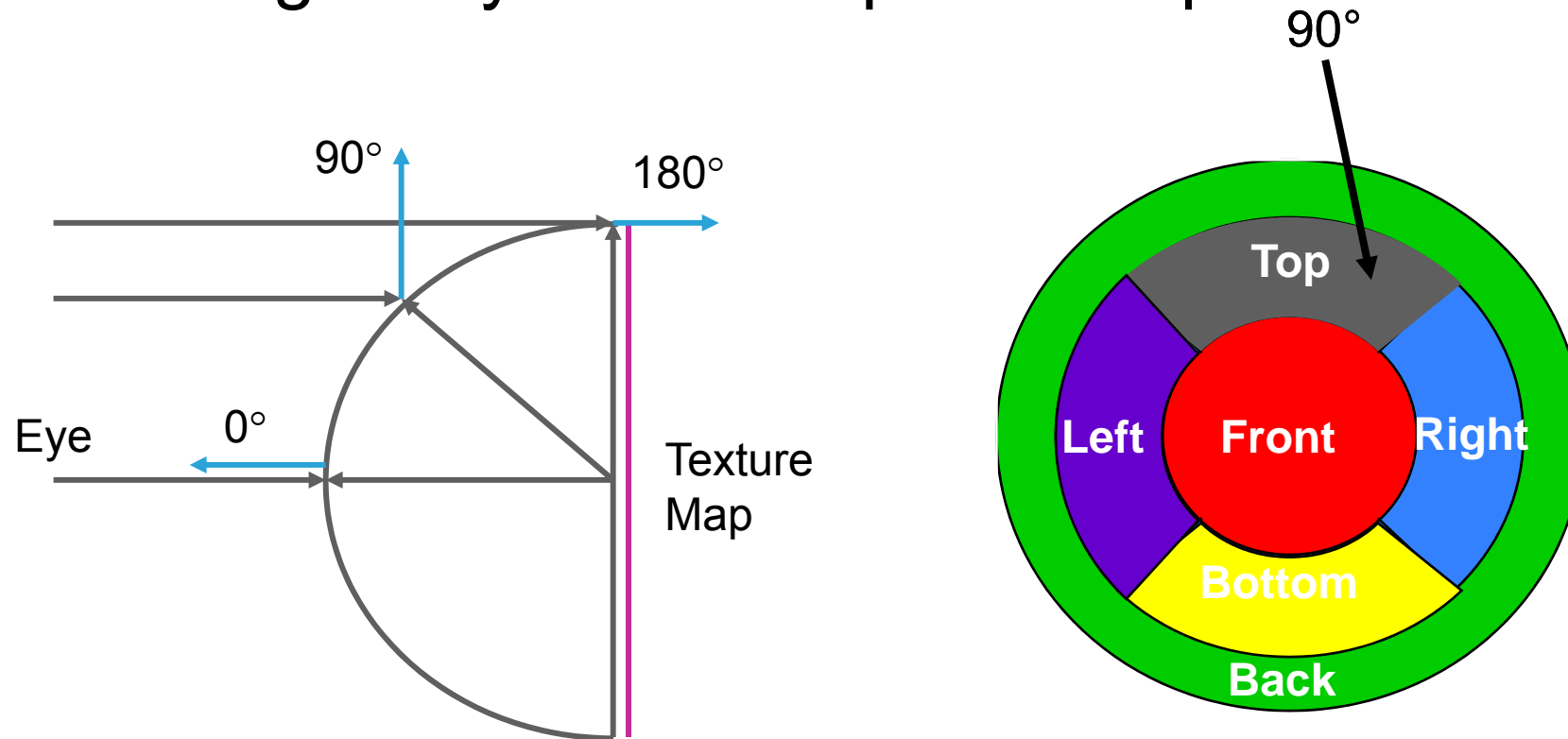
- Advantages
  - Minimal distortions
  - Creation and map entirely hardware accelerated
  - Can be generated dynamically
- Optimizations for dynamic scenes
  - Need not be updated every frame
  - Low resolution sufficient



- Earliest available method with OpenGL
  - Only texture mapping required!
- Texture looks like ***orthographic*** reflection from chrome hemisphere
  - Can be photographed like this!



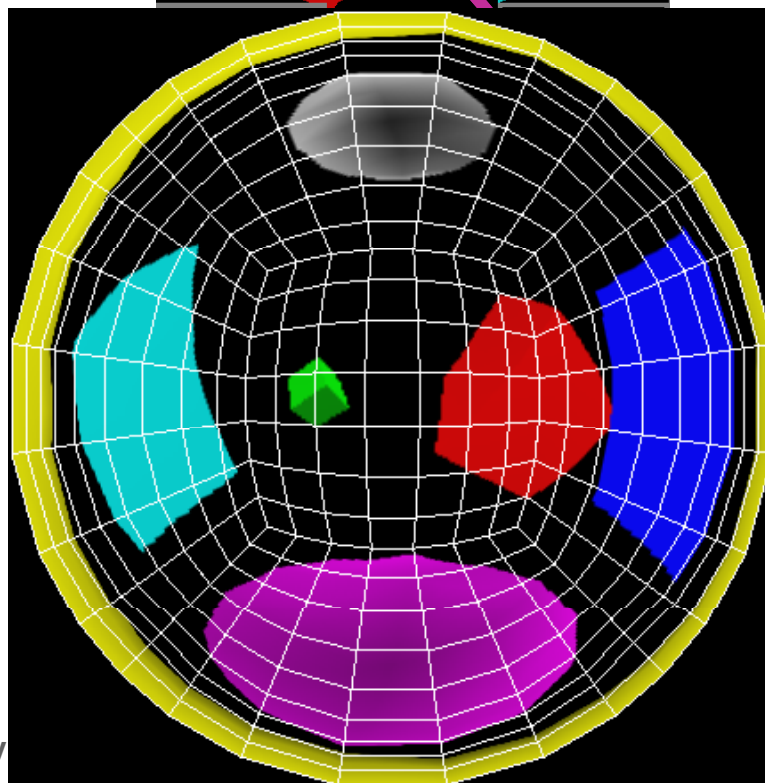
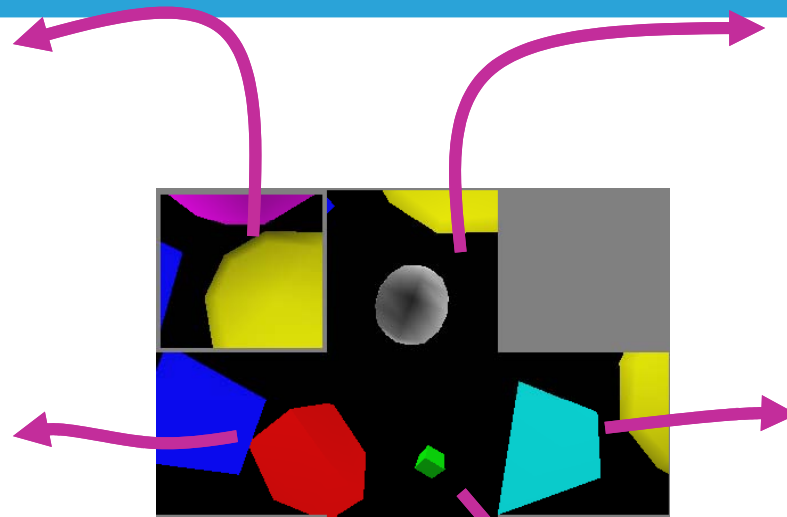
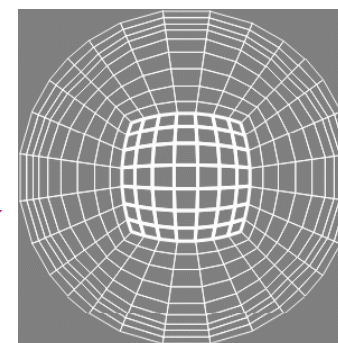
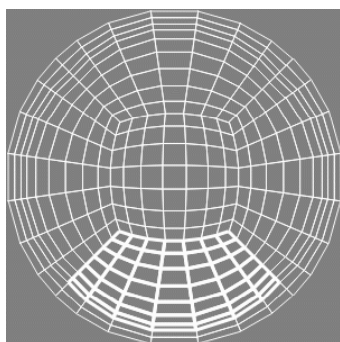
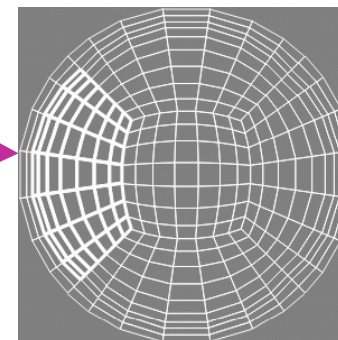
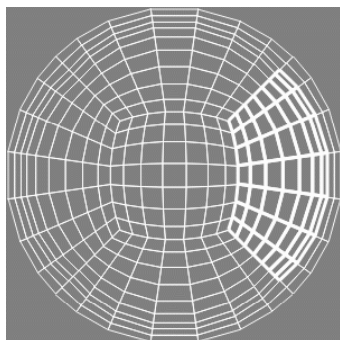
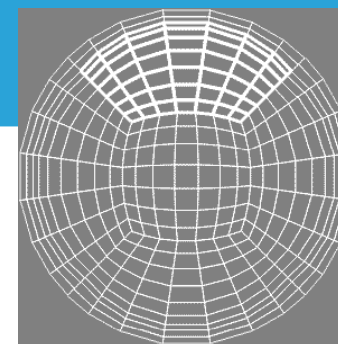
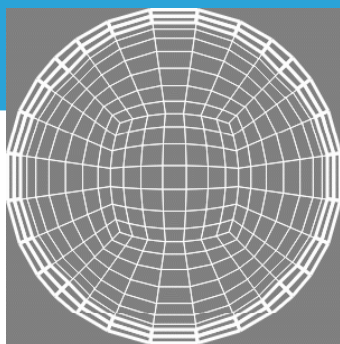
- Maps all reflections to hemisphere
  - Center of map reflects back to eye
  - Singularity: back of sphere maps to outer ring



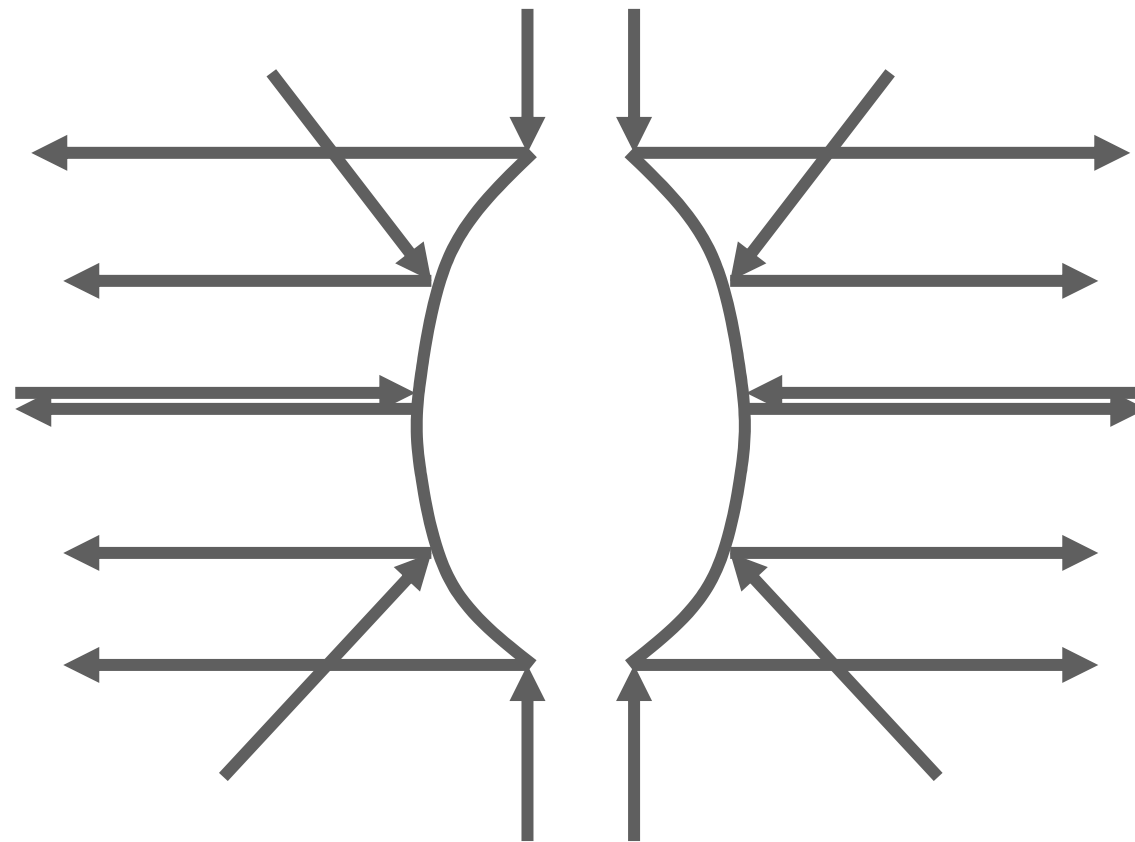
- Texture coordinates generated automatically
  - `glTexGeni(GL_S, GL_TEXTURE_GEN_MODE, GL_SPHERE_MAP);`
  - Uses eye-space reflection vector (internally)
- Generation
  - Ray tracing
  - Warping a cube map (possible on the fly)
  - Take a photograph of a metallic sphere!!
- Disadvantages:
  - View dependent → has to be regenerated even for static environments!
  - Distortions







- Use orthographic reflection of two parabolic mirrors instead of a sphere



- Texture coordinate generation:
  - Generate reflection vector using OpenGL
  - Load texture matrix with  $P \cdot M^{-1}$ 
    - M is inverse view matrix (view independency)
    - P is a projection which accomplishes
$$s = r_x / (1-r_z)$$
$$t = r_y / (1-r_z)$$
- Texture access across seam:
  - Always apply both maps with multitexture
  - Use alpha to select active map for each pixel



- Advantages
  - View independent
  - Requires only projective texturing
  - Even less distortions than cube mapping
- Disadvantages
  - Can only be generated using ray tracing or warping
    - No direct rendering like cube maps
    - No photographing like sphere maps

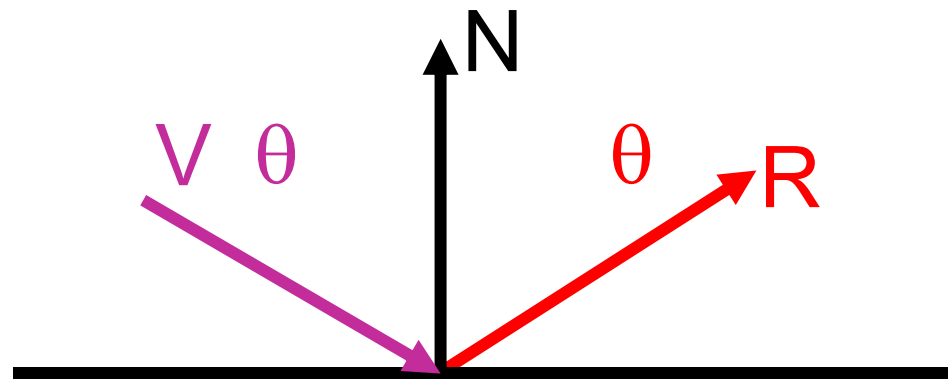


# Summary Environment Mapping

	Sphere	Cube	Paraboloid
View-	dependent	independent	independent
Generation	warp/ray/ photo	direct rendering/ photo	warp/ray
Hardware required	texture mapping	cube map support	projective texturing, 2 texture units
Distortions	strong	medium	little



- Angle of incidence = angle of reflection



$$R = V - 2 (N \text{ dot } V) N$$

post-modelview view vector

V and N normalized!

- OpenGL uses eye coordinates for R
- Cube map needs reflection vector in world coordinates (where map was created)
  - Load texture matrix with inverse 3x3 view matrix
  - Best done in fragment shader



# Example Vertex Program (CG)

```
void C7E1v_reflection(float4 position : POSITION,
                    float2 texCoord  : TEXCOORD0,
                    float3 normal    : NORMAL,
                    out float4 oPosition : POSITION,
                    out float2 oTexCoord : TEXCOORD0,
                    out float3 R       : TEXCOORD1,
                    uniform float3 eyePositionW,
                    uniform float4x4 modelViewProj,
                    uniform float4x4 modelToWorld,
                    uniform float4x4 modelToWorldInverseTranspose)
{
    oPosition = mul(modelViewProj, position);
    oTexCoord = texCoord;

    // Compute position and normal in world space
    float3 positionW = mul(modelToWorld, position).xyz;
    float3 N = mul((float3x3) modelToWorldInverseTranspose, normal);
    N = normalize(N);

    // Compute the incident and reflected vectors
    float3 I = positionW - eyePositionW;
    R = reflect(I, N);
}
```



# Example Fragment Program

```
void C7E2f_reflection(float2 texCoord : TEXCOORD0,
                    float3 R          : TEXCOORD1,

                    out float4 color   : COLOR,

                    uniform float reflectivity,
                    uniform sampler2D decalMap,
                    uniform samplerCUBE environmentMap)
{
    // Fetch reflected environment color
    float4 reflectedColor = texCUBE(environmentMap, R);

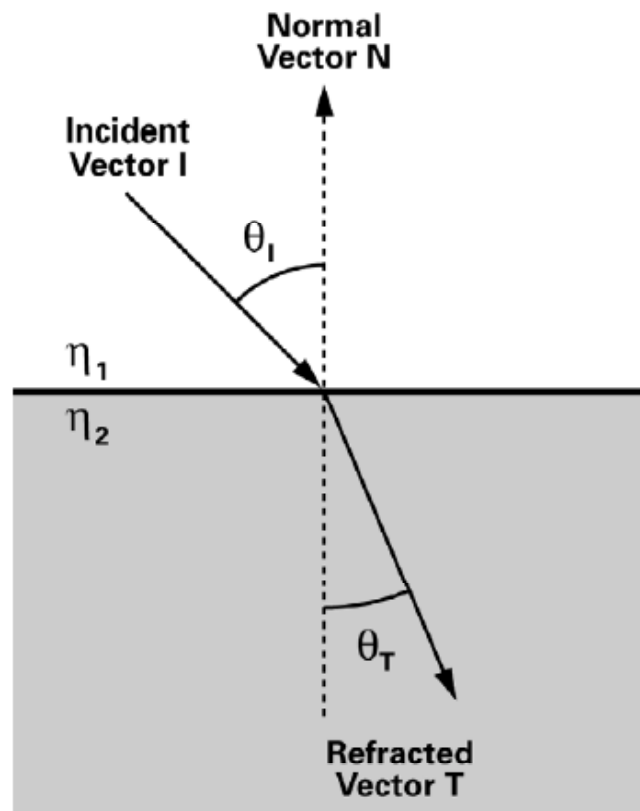
    // Fetch the decal base color
    float4 decalColor = tex2D(decalMap, texCoord);

    color = lerp(decalColor, reflectedColor,
                reflectivity);
}
```





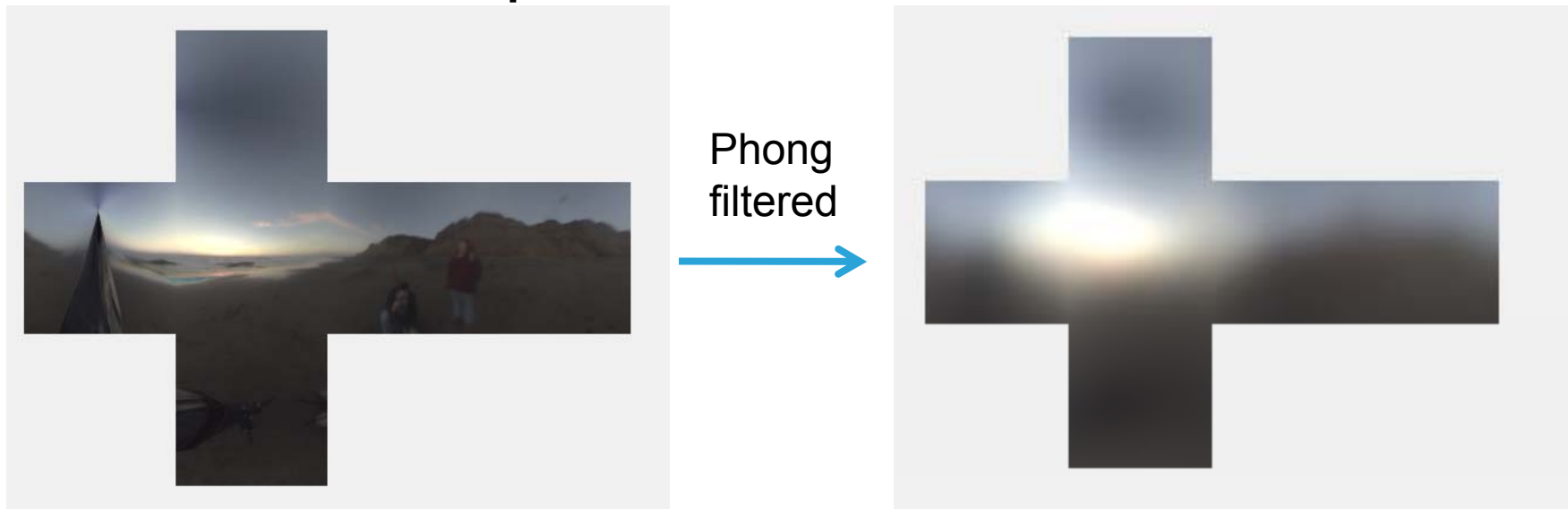
- Use refracted vector for lookup:
  - Snells law:  $\eta_1 \sin \theta_I = \eta_2 \sin \theta_T$



## Demo



- We can prefilter the environment map
  - Equals specular integration over the hemisphere
  - Phong lobe ( $\cos^n$ ) as filter kernel
  - R as lookup



- Prefilter with  $\cos()$ 
  - Equals diffuse integral over hemisphere
  - $N$  as lookup direction
  - Integration: interpret each pixel of envmap as a light source, sum up!



## OGRE Beach Demo



Author: Christian Luksch

<http://www.ogre3d.org/wiki/index.php/HDRlib>



- “Cheap” technique
  - Highly effective for static lighting
  - Simple form of image based lighting
    - Expensive operations are replaced by prefiltering
- Advanced variations:
  - Separable BRDFs for complex materials
  - Realtime filtering of environment maps
  - Fresnel term modulations (water, glass)
- Used in virtually every modern computer game



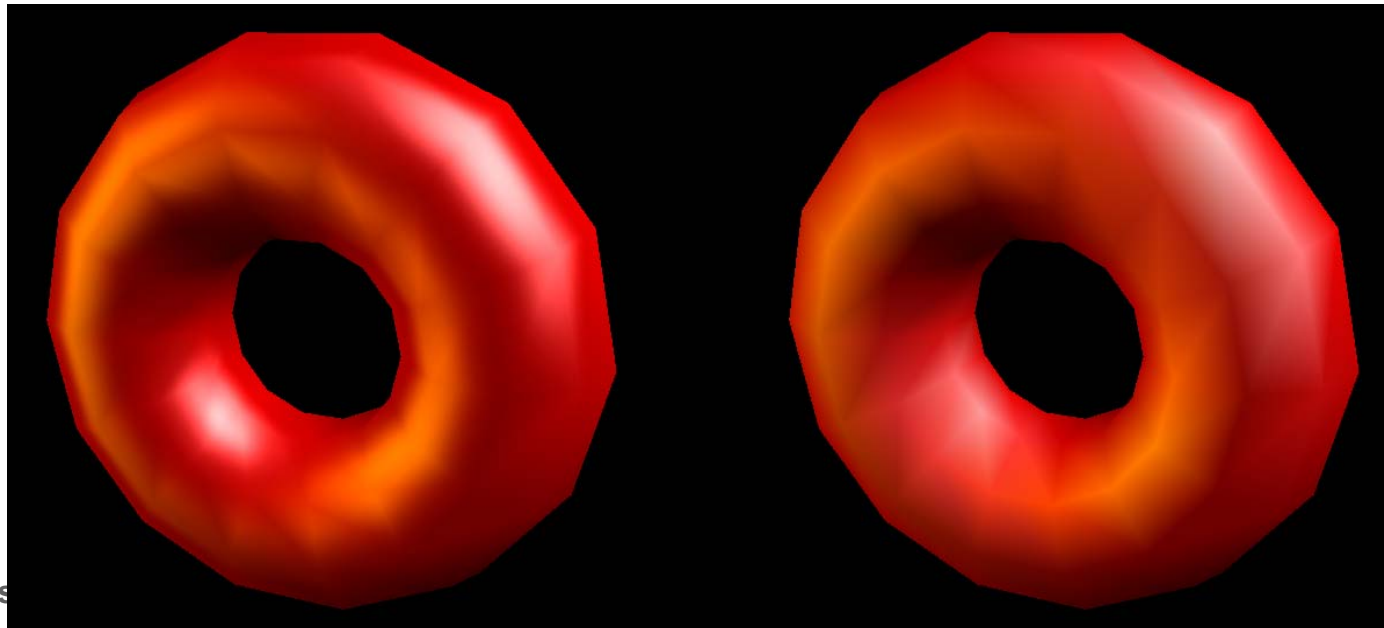
- Environment map creation:
  - AMDs CubeMapGen (free)
    - Assembly
    - Proper filtering
    - Proper MIP map generation
    - Available as library for your engine/dynamic environment maps
  - HDRShop 1.0 (free)
    - Representation conversion
      - Spheremap to Cubemap



- Simulating smooth surfaces by calculating illumination at each pixel
- Example: specular highlights

per-pixel  
evaluation

linear intensity  
interpolation



- Simulating rough surfaces by calculating illumination at each pixel

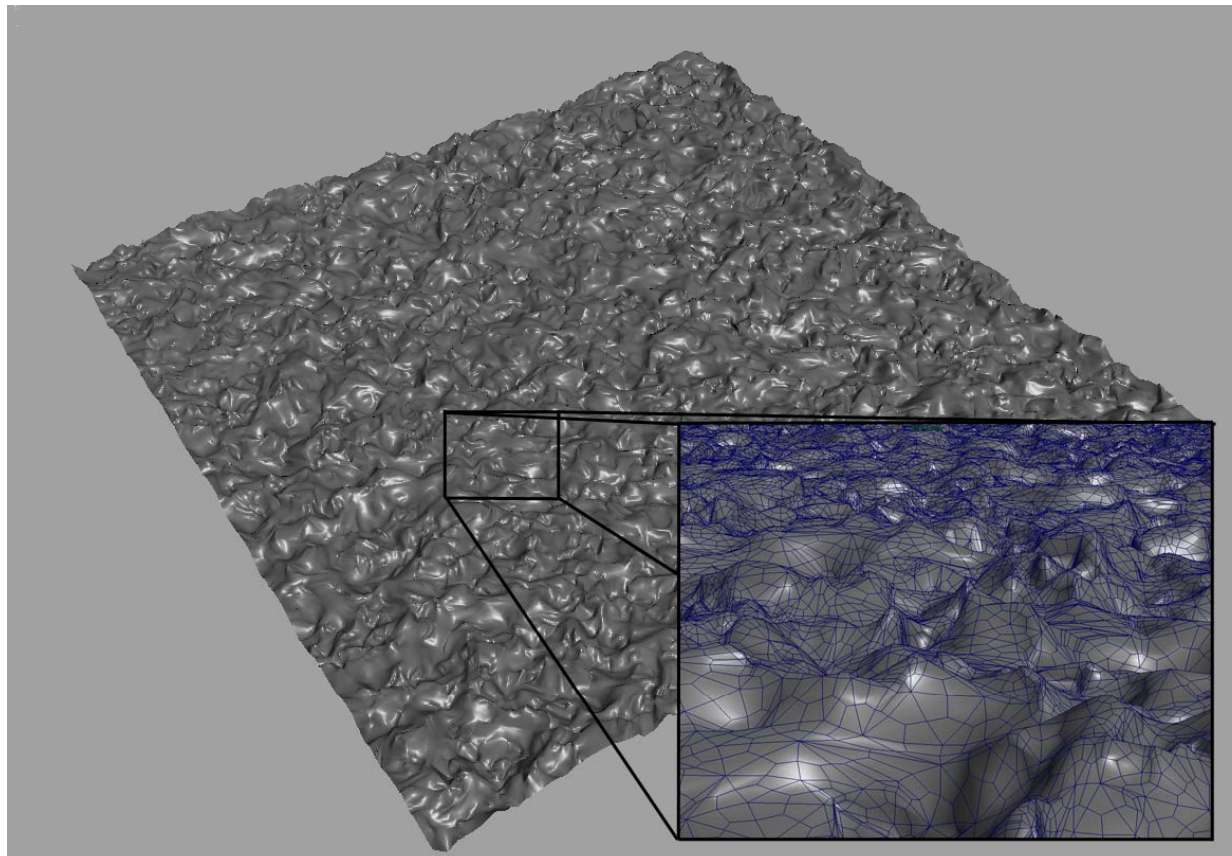




- Bump/Normalmapping invented by Blinn 1978.
- Efficient rendering of structured surfaces
- Enormous visual Improvement **without** additional geometry
- Is a local method (does not know anything about surrounding except lights)
  - Heavily used method!
  - Realistic AAA games normal map every surface



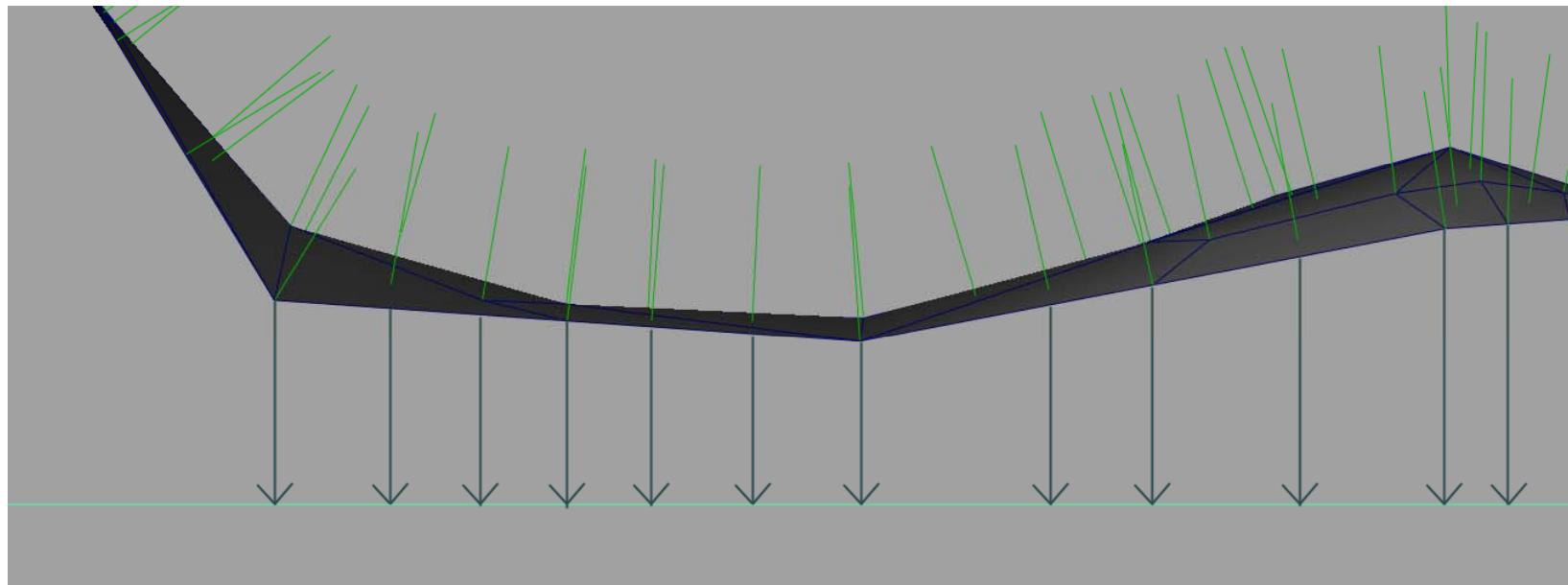
Fine structures require a massive amount of polygons



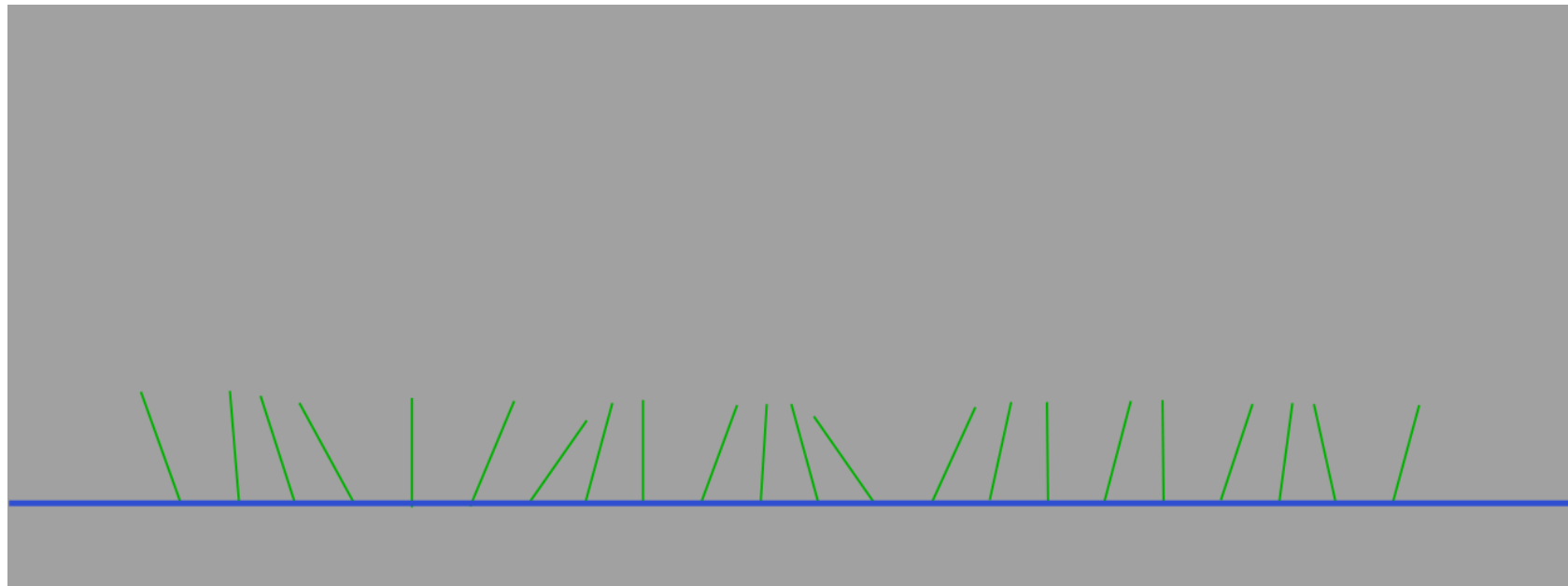
Too slow for full scene rendering



- But: perception of illumination is not strongly dependent on position
- Position can be approximated by carrier geometry
- Idea: transfer normal to carrier geometry



- But: perception of illumination is not strongly dependent on position
- Position can be approximated by carrier geometry
- Idea: transfer normal to carrier geometry

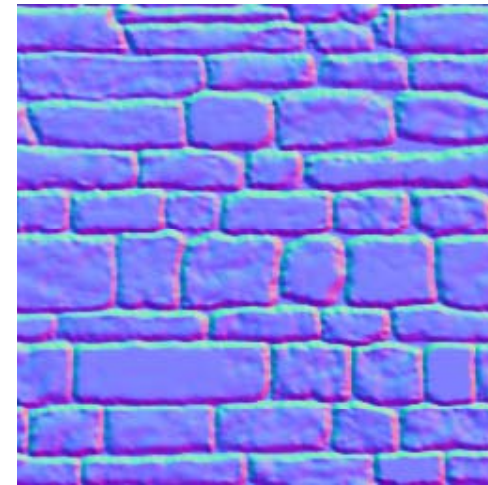


- Result: Texture that contains the normals as vectors

- Red X

- Green Y

- Blue Z

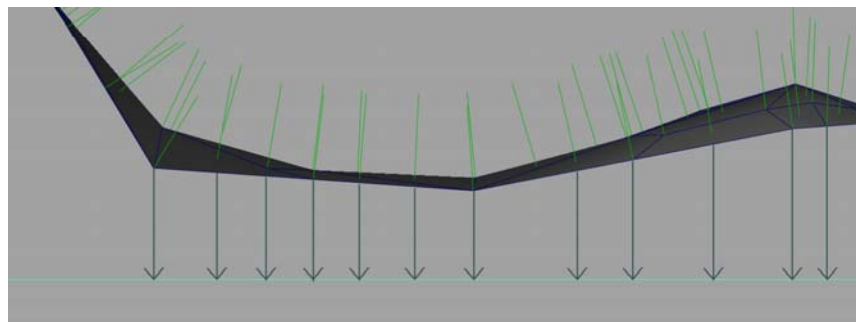


- Saved as range compressed bitmap  
([-1..1] mapped to [0..1])

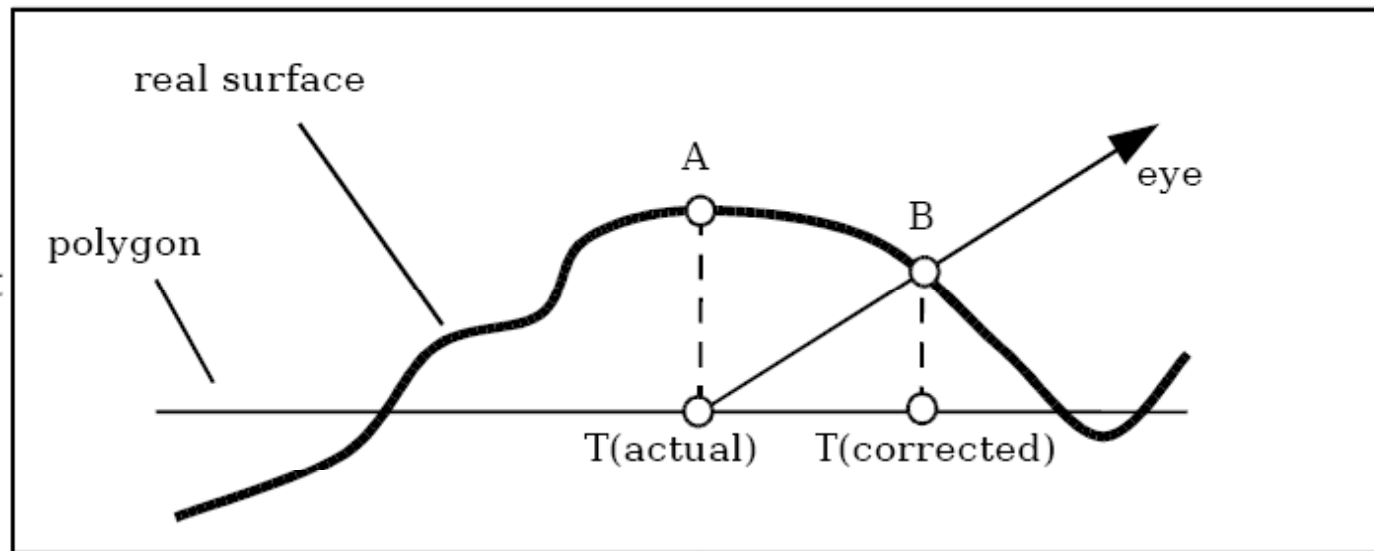
- Directions instead of polygons!
- Shading evaluations executed with lookup normals instead of interpolated normal



- Additional result is heightfield texture
  - Encodes the distance of original geometry to the carrier geometry



- Normal mapping does not use the heightfield
  - No parallax effect, surface is still flattened
- Idea: Distort texture lookup according to view vector and heightfield
  - Good approximation of original geometry



# Parallax normal mapping

- We want to calculate the offset to lookup color and normals from the corrected position  $T_n$  to do shading there

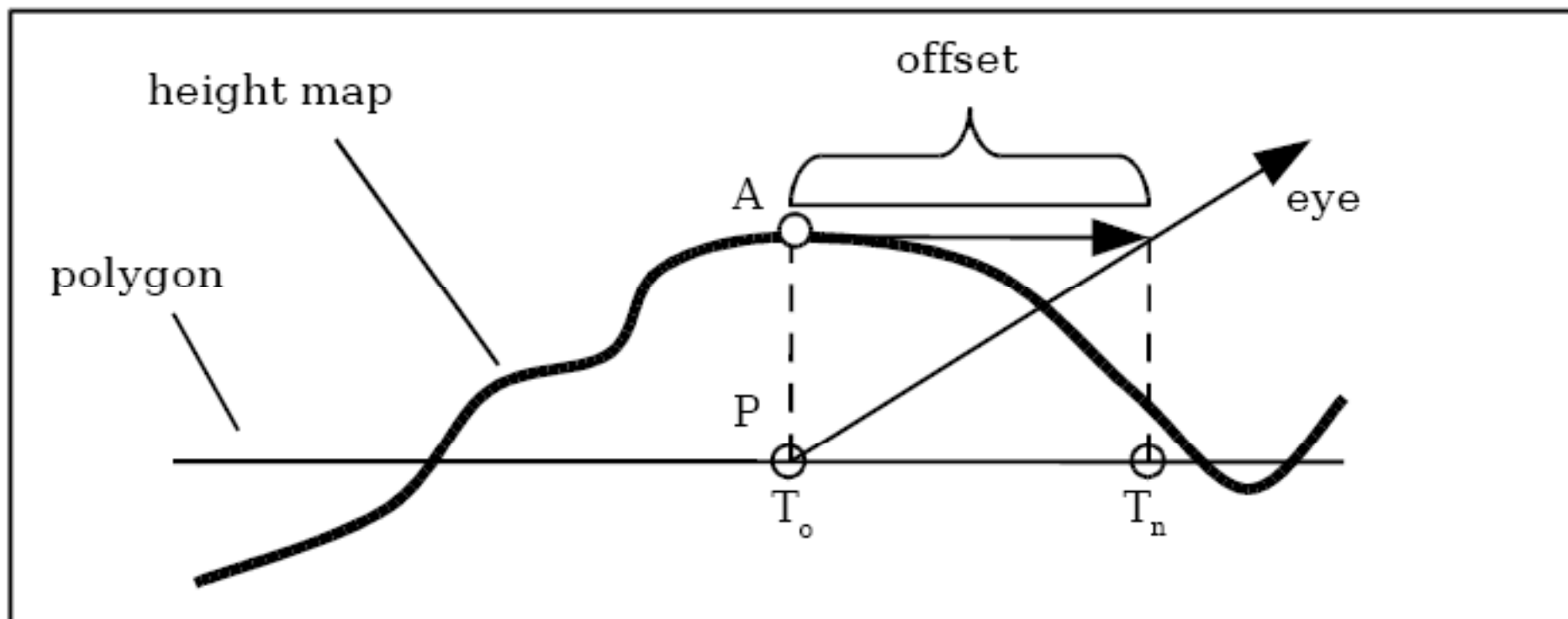
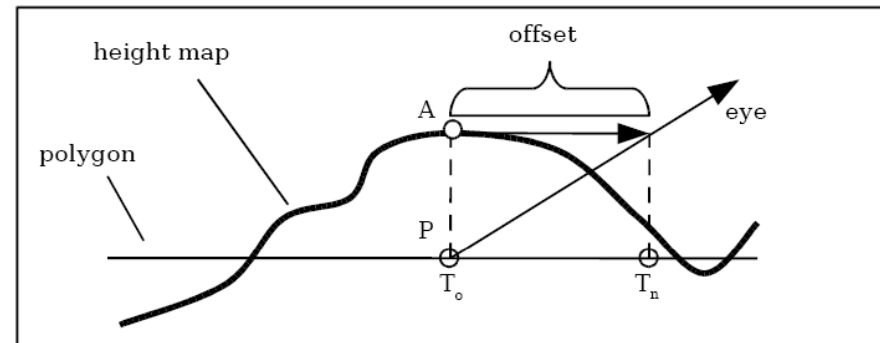


Image by Terry Welsh





- Rescale heightmap  $h$  to appropriate values:  
 $h_n = h * s - 0.5s$   
( $s = \text{scale} = 0.01$ )



- Assume heightfield is locally constant
  - Lookup heightfield at  $T_0$
- Trace ray from  $T_0$  to eye with eye vector  $V$  to height and add offset:
  - $T_n = T_0 + (h_n * V_{x,y} / V_z)$



- Problem: At steep viewing angles,  $V_z$  goes to zero
  - Offset values approach infinity
- Solution: we leave out  $V_z$  division:  
$$T_n = T_0 + (h_n * V_{x,y})$$
- Effect: offset is limited

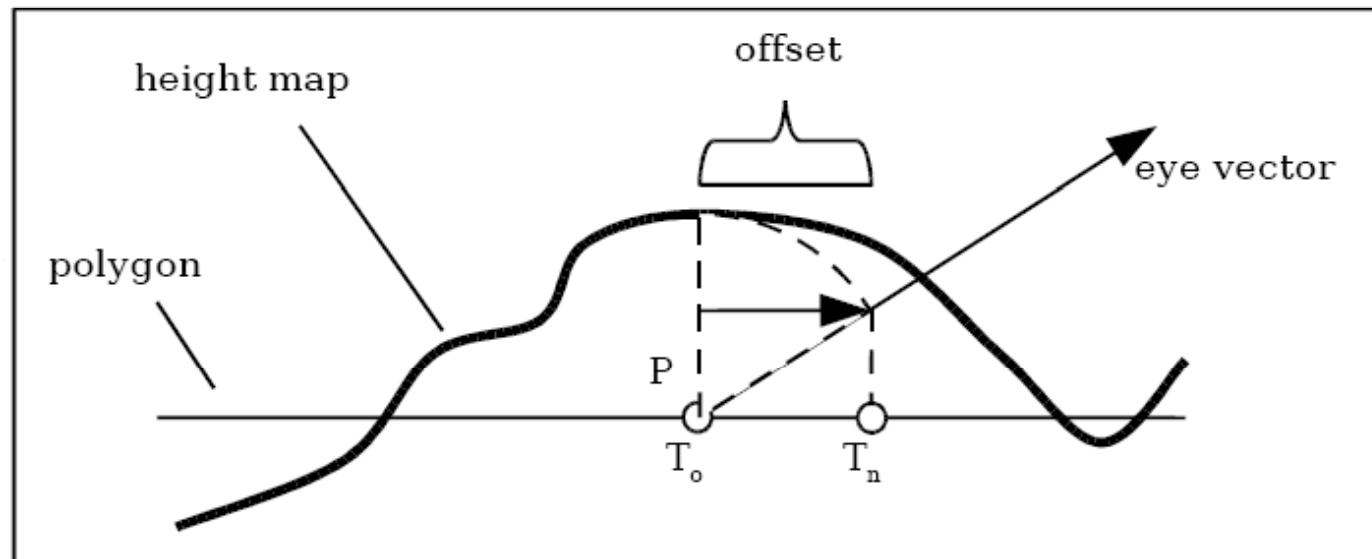
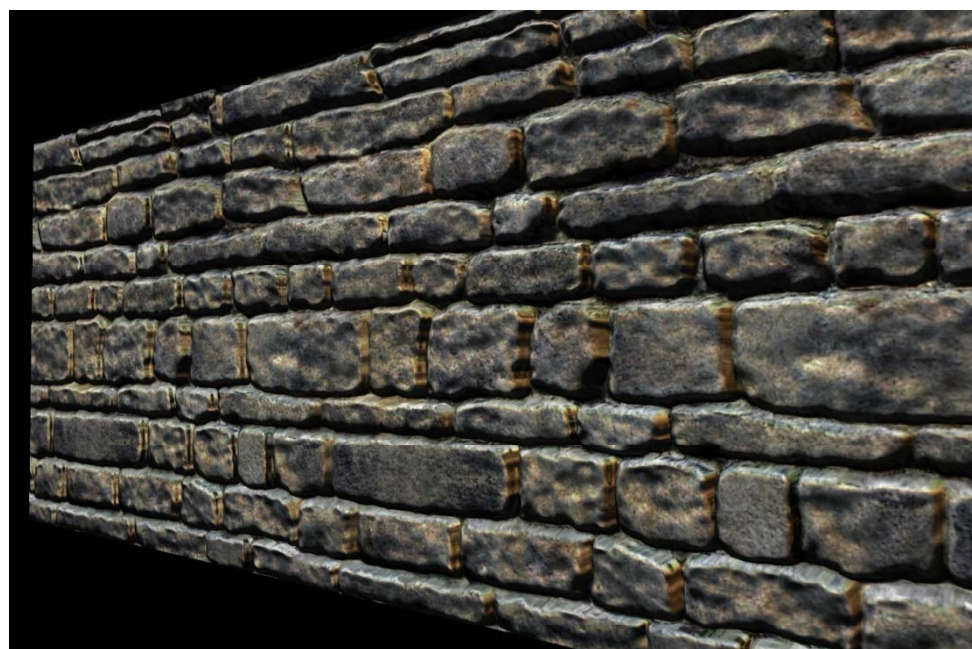


Image by Terry Welsh



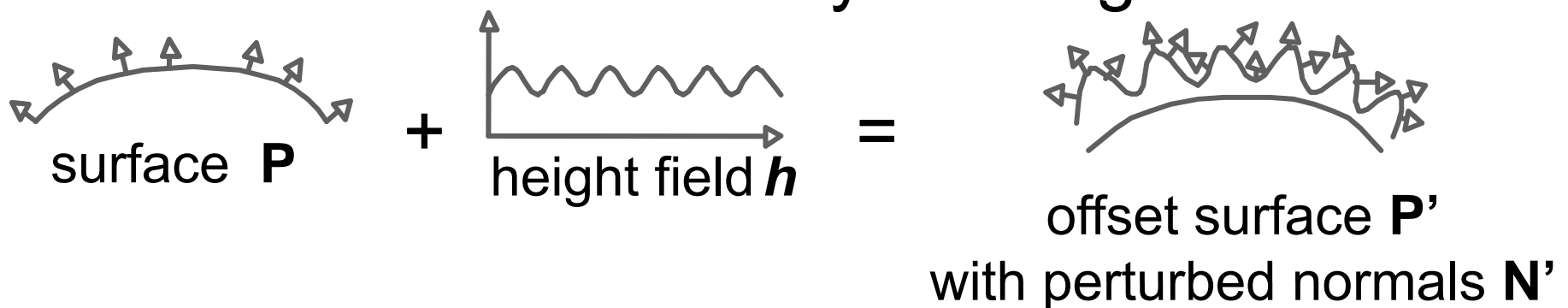
# Normalmap Parallax-normalmap Demo



Author: Terry Welsh



- Original Bump Mapping idea has theory that is a little more involved!
- Assume a  $(u, v)$ -parameterization
  - I.e., points on the surface  $P = P(u, v)$
- Surface  $P$  is modified by 2D height field  $h$



- $P_u, P_v$  : Partial derivatives: 
$$P_u(u, v) = \frac{\partial P}{\partial u}(u, v)$$
    - Easy: differentiate, treat other vars as constant! (or see tangent space)
    - Both derivatives are in tangent plane
  - Careful: normal normalization...
    - $N(u, v) = P_u \times P_v$
    - $N_n = N / |N|$
- Displaced surface:
- $P'(u, v) = P(u, v) + h(u, v) N_n(u, v)$



- Perturbed normal:

$$N'(u,v) = P'_u \times P'_v$$

- $P'_u = P_u + h_u N_n + h N_{nu}$   
 $\sim P_u + h_u N_n$  (h small)

$$P' = P + h N_n$$

- $P'_v = P_v + h_v N_n + h N_{nv}$   
 $\sim P_v + h_v N_n$

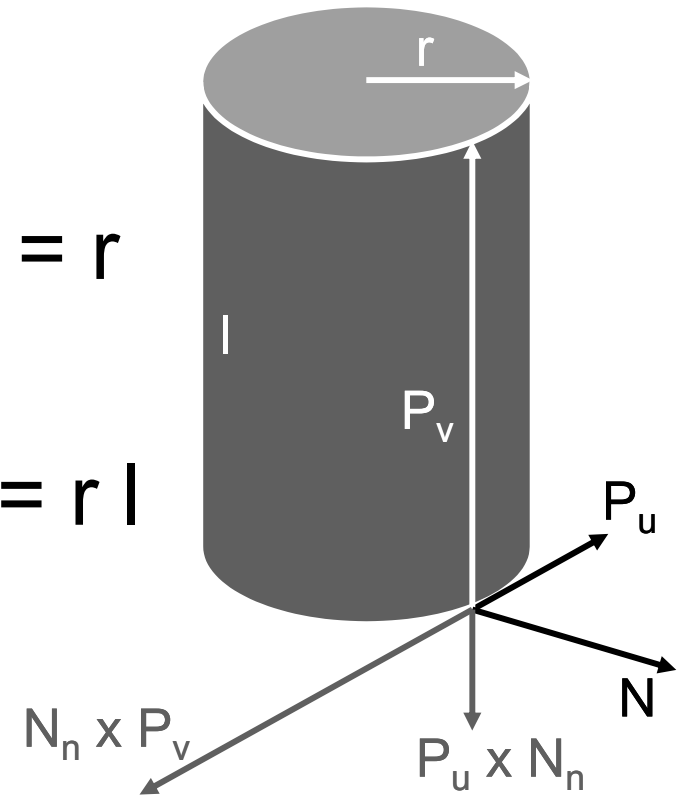
$$\begin{aligned} \rightarrow N' &= N + h_u (N_n \times P_v) + h_v (P_u \times N_n) \\ &= N + D \text{ "offset vector"} \\ &\text{(D is in tangent plane)} \end{aligned}$$



# Cylinder Example

Goal:  $N' = N + h_u (N_n \times P_v) + h_v (P_u \times N_n)$

- $P(u,v) = (r \cos u, r \sin u, l v)$ ,  
 $u = 0.. 2 \text{ Pi}, v = 0..1$
- $P_u = (-r \sin u, r \cos u, 0)$ ,  $|P_u| = r$
- $P_v = (0, 0, l)$ ,  $|P_v| = l$
- $N = (r l \cos u, r l \sin u, 0)$ ,  $|N| = r l$
- $N_n = (\cos u, \sin u, 0)$
- $N_n \times P_v = l (\sin u, -\cos u, 0)$
- $P_u \times N_n = (0, 0, -r)$



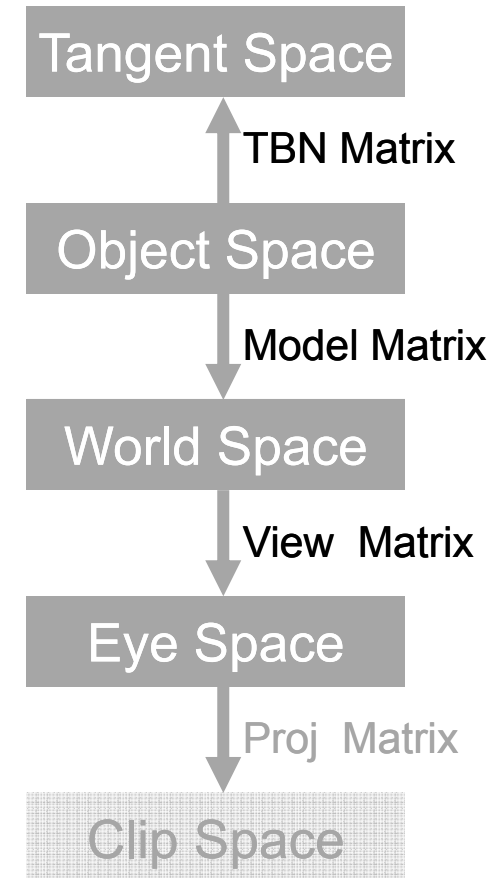
- Dependence on surface parameterization
  - $D = f(P_u, P_v)$
  - Map tied to this surface → don't want this!
- What to calculate where?
  - Preprocess, per object, per vertex, per fragment
- Which coordinate system to choose?





Problem: where to calculate lighting?

- Object coordinates
  - Native space for normals (N)
- World coordinates
  - Native space for light vector (L), env-maps
  - Not explicit in OpenGL!
- Eye Coordinates
  - Native space for view vector (V)
- Tangent Space
  - Native space for normal maps



# Basic Algorithm (Eye Space)

- For scene (assume infinite L and V)
  - Transform L and V to eye space and normalize
  - Compute normalized H (for specular)
- For each vertex
  - Transform  $N_n$ ,  $P_u$  and  $P_v$  to eye space
  - Calculate  $B1 = N_n \times P_v$ ,  $B2 = P_u \times N_n$ ,  $N = P_u \times P_v$
- For each fragment
  - Interpolate B1, B2, N
  - Fetch  $(h_u, h_v) = \text{texture}(s, t)$
  - Compute  $N' = N + h_u B1 + h_v B2$
  - Normalize  $N'$
  - Using  $N'$  in standard Phong equation

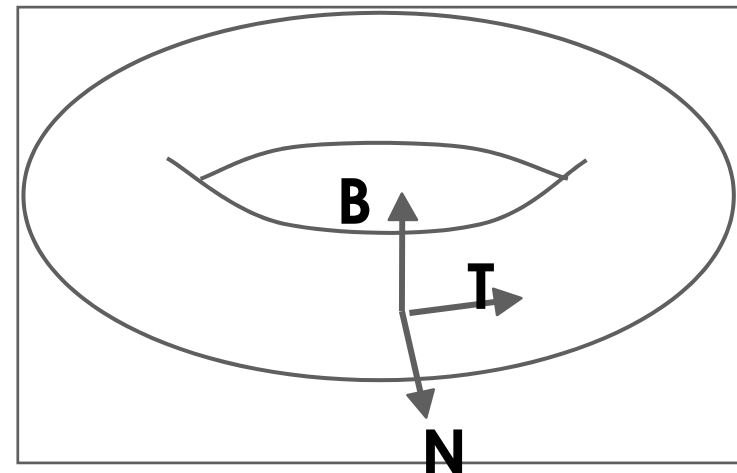


- Concept from differential geometry
- Set of all tangents on a surface
- Orthonormal coordinate system (frame) for each point on the surface:

$$N_n(u,v) = P_u \times P_v / |P_u \times P_v|$$

$$T = P_u / |P_u|$$

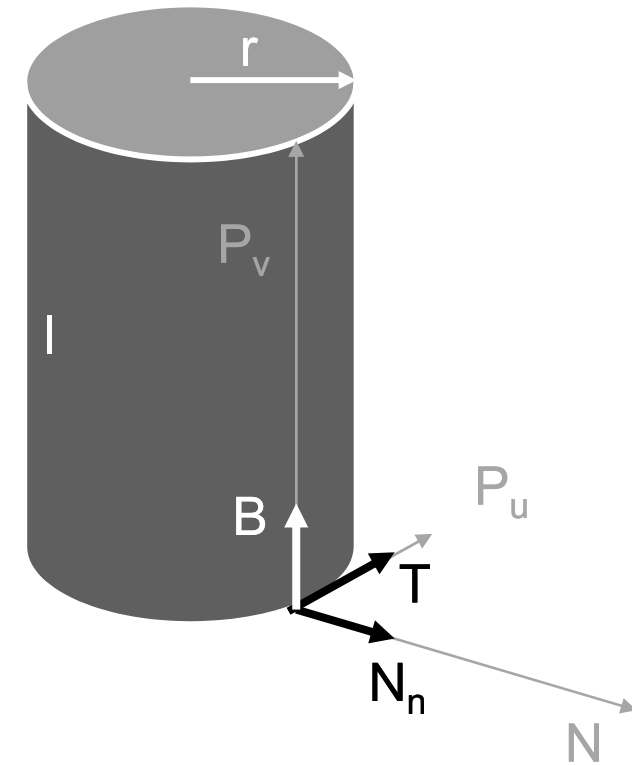
$$B = N_n \times T$$



- A natural space for normal maps
  - Vertex normal  $N = (0,0,1)$  in this space!



- Cylinder Tangent Space:
- $N_n(u,v) = P_u \times P_v / |P_u \times P_v|$   
 $T = P_u / |P_u|$   
 $B = N_n \times T$
- Tangent space matrix:  
TBN column vectors



- “Normal Mapping”
- For each vertex
  - Transform light direction  $L$  and eye vector  $V$  to tangent space and normalize
  - Compute normalized Half vector  $H$
- For each fragment
  - Interpolate  $L$  and  $H$
  - Renormalize  $L$  and  $H$
  - Fetch  $N' = \text{texture}(s, t)$  (Normal Map)
  - Use  $N'$  in shading



# Square Patch Assumption

- $B = P_v / |P_v|$ 
  - Decouples bump map from surface!

- Recall formula:  $N' = N + h_u (N_n \times P_v) + h_v (P_u \times N_n)$

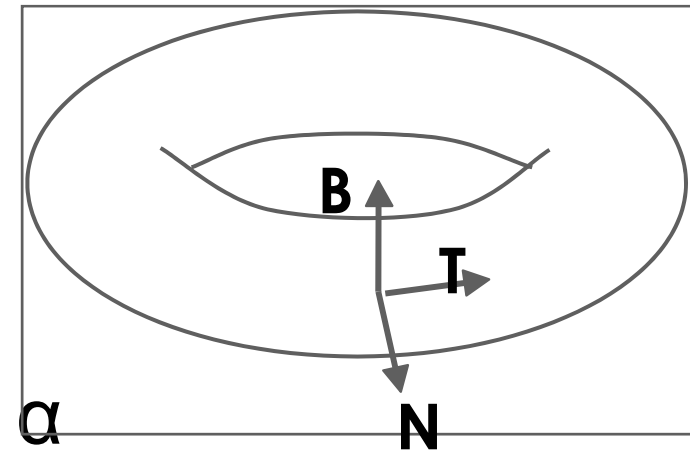
- Convert to tangent space:

$$N_n \times P_v = -T |P_v|$$

$$P_u \times N_n = -B |P_u|$$

$$|N| = |P_u \times P_v| = |P_u| |P_v| \sin \alpha$$

$$N' = N - h_u T |P_v| - h_v B |P_u| \quad \text{divide by } |P_u| |P_v|$$



$$\rightarrow N' \sim N_n \sin \alpha - h_u / |P_u| T - h_v / |P_v| B$$



# Square Patch Assumption

- $N' \sim \mathbf{N}_n \sin \alpha - h_u / |P_u| \mathbf{T} - h_v / |P_v| \mathbf{B}$ 
  - Square patch  $\rightarrow \sin \alpha = 1$
  - $|P_u|$  and  $|P_v|$  assumed constant over patch
- $N' \sim \mathbf{N}_n - (h_u / k) \mathbf{T} - (h_v / k) \mathbf{B} = \mathbf{N}_n + \mathbf{D}$



- $\mathbf{N}' \sim \mathbf{N}_n - (h_u / k) \mathbf{T} - (h_v / k) \mathbf{B} = \mathbf{N}_n + \mathbf{D}$
- In tangent space (TBN):
  - $\mathbf{N}_n = (0, 0, 1)$ ,  $\mathbf{D} = (-h_u / k, -h_v / k, 0)$
- “Scale” of bumps:  $k$ 
  - Apply map to any surface with same scale
- Alternative:  $\mathbf{D} = (-h_u, -h_v, 0)$ 
  - Apply  $k$  at runtime
- $h_u, h_v$  : calculated by finite differencing from height map





- Also: normal perturbation maps
- $\mathbf{N}' \sim \mathbf{N}_n - (h_u / k) \mathbf{T} - (h_v / k) \mathbf{B} = \mathbf{R} \mathbf{N}_n$
- R: rotation matrix
- In tangent space (TBN):
  - $\mathbf{N}_n = (0, 0, 1) \rightarrow \mathbf{N}'$  third row of  $\mathbf{R}$
  - $\mathbf{N}' = \text{Normalize}(-h_u / k, -h_v / k, 1)$
- “Scale” of bumps: k
- Comparison to offset maps:
  - Need 3 components
  - Better use of precision (normalized vector)



- Trivial for analytically defined surfaces
  - Calculate  $P_u, P_v$  at vertices
- Use **texture space** for polygonal meshes
  - Induce from given texture coordinates per triangle
  - $P(s, t) = \mathbf{a} s + \mathbf{b} t + \mathbf{c} = P_u s + P_v t + \mathbf{c}!$
  - 9 unknowns, 9 equations (x,y,z for each vertex)!
- Transformation from object space to tangent space

$$\begin{matrix} L_{tx} & L_{ty} & L_{tz} & = & L_{ox} & L_{oy} & L_{oz} & & T_x & B_x & N_x \\ & & & & & & & & T_y & B_y & N_y \\ & & & & & & & & T_z & B_z & N_z \end{matrix}$$



- $P(s, t) = \mathbf{a} s + \mathbf{b} t + \mathbf{c}$ , linear transform!

→  $P_u(s,t) = \mathbf{a}$ ,  $P_v(s,t) = \mathbf{b}$

- Texture space:

- $u_1 = (s_1, t_1) - (s_0, t_0)$ ,  $u_2 = (s_2, t_2) - (s_0, t_0)$

- Local space:

- $v_1 = P_1 - P_0$ ,  $v_2 = P_2 - P_0$

- $[P_u \ P_v] u_1 = v_1$ ,  $[P_u \ P_v] u_2 = v_2$

- Matrix notation:

- $[P_u \ P_v] [u_1 \ u_2] = [v_1 \ v_2]$



- $[P_u \ P_v] [u_1 \ u_2] = [v_1 \ v_2]$   
→  $[P_u \ P_v] = [v_1 \ v_2] [u_1 \ u_2]^{-1}$
- $[u_1 \ u_2]^{-1} = 1/|u_1 \ u_2| \begin{bmatrix} u_{2y} & -u_{2x} \\ -u_{1y} & u_{1x} \end{bmatrix}$
  
- Result: very simple formula!
- Finally: calculate tangent frame (for triangle):
  - $T = P_u / |P_u|$   
 $B = N_n \times T$



- Example for key-framed skinned model
  - Note: average tangent space between adjacent triangles (like normal calculation)



bump-skin height field



decal skin (unlit!)



# Quake 2 Example



Note: Gloss map defines where to apply specular



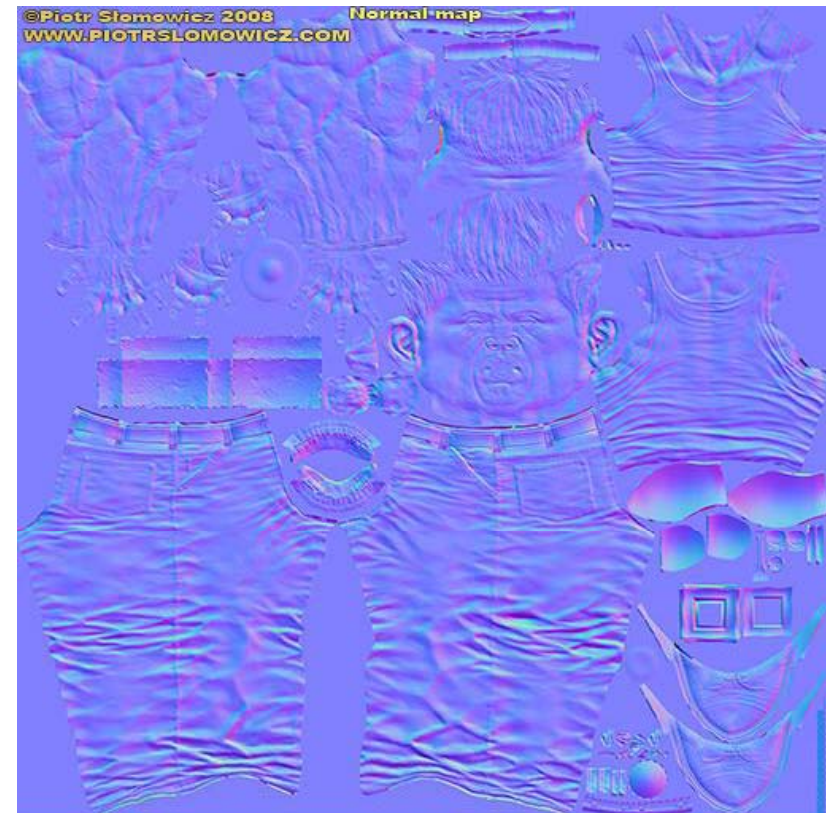
***Final result!***



# Normal map Example



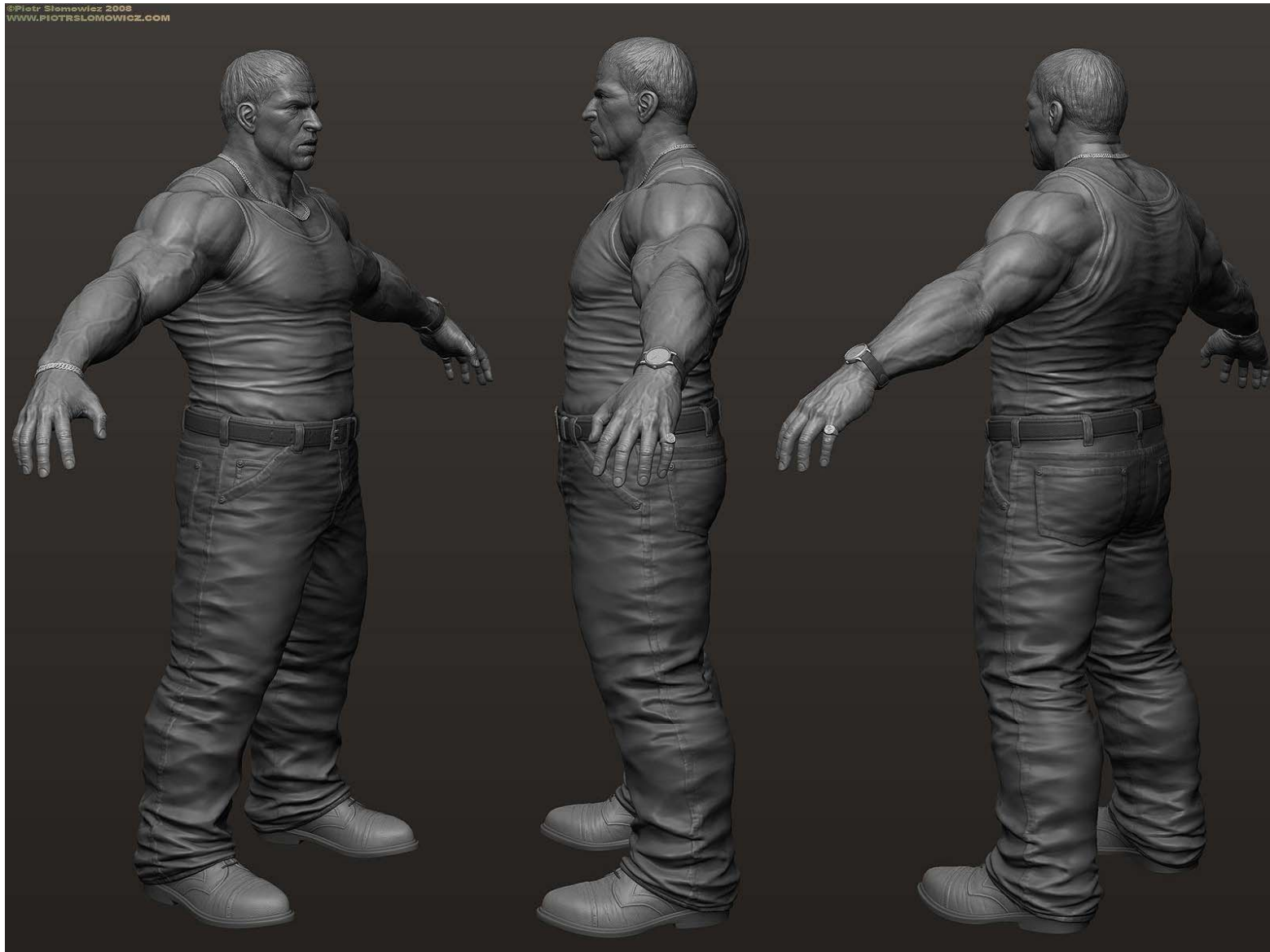
+



Model by Piotr Slomowicz



# Normal map Example

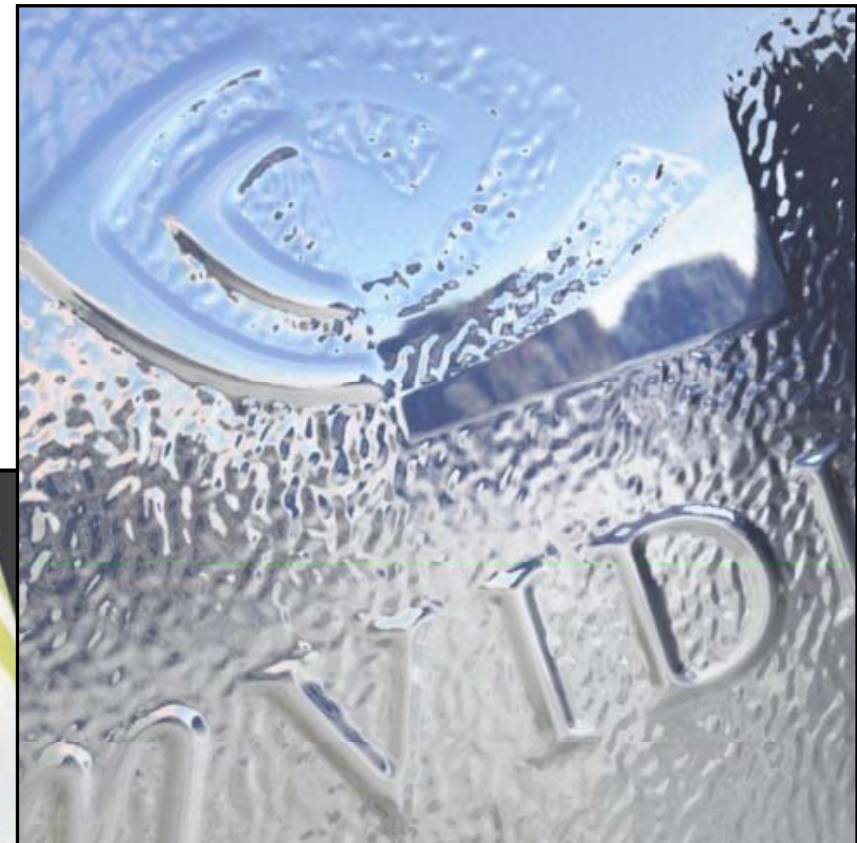




# Normal map Example



- Normal and Parallax mapping combines beautifully with environment mapping



## Demo



- For each vertex
  - Transform  $V$  to world space
  - Compute tangent space to world space transform ( $T$ ,  $B$ ,  $N$ )
- For each fragment
  - Interpolate and renormalize  $V$
  - Interpolate frame ( $T$ ,  $B$ ,  $N$ )
  - Lookup  $N' = \text{texture}(s, t)$
  - Transform  $N'$  from tangent space to world space
  - Compute reflection vector  $R$  (in world space) using  $N'$
  - Lookup  $C = \text{cubemap}(R)$



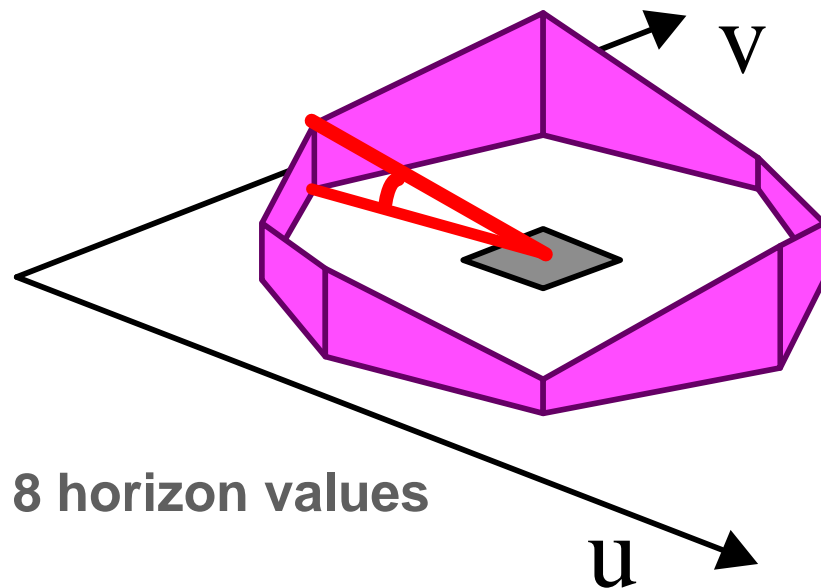
- Artifacts
  - No shadowing
  - Silhouettes still edgy
  - No parallax for Normal mapping
- Parallax Normal Mapping
  - No occlusion, just distortion
  - Not accurate for high frequency height-fields (local constant heightfield assumption does not work)
  - No silhouettes



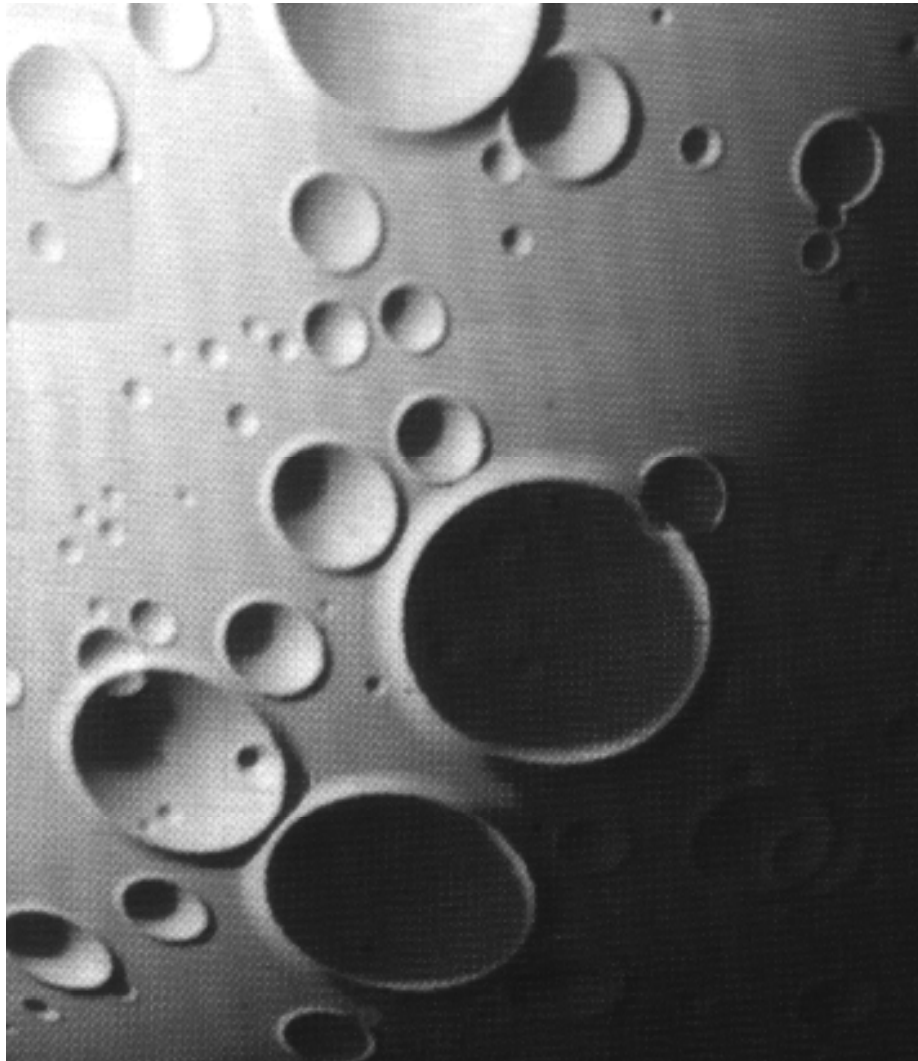
- Normal Mapping Effectiveness
  - No effect if neither light nor object moves!
  - In this case, use light maps
  - Exception: specular highlights



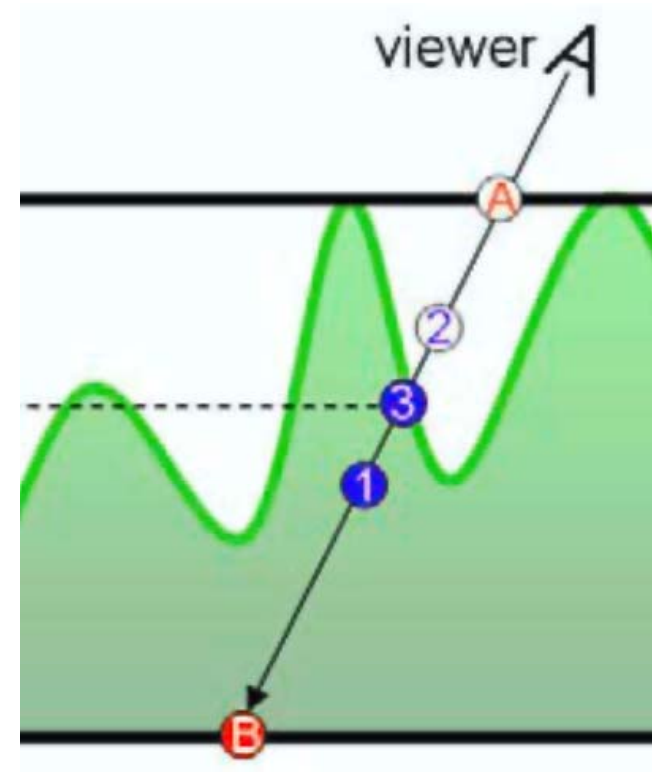
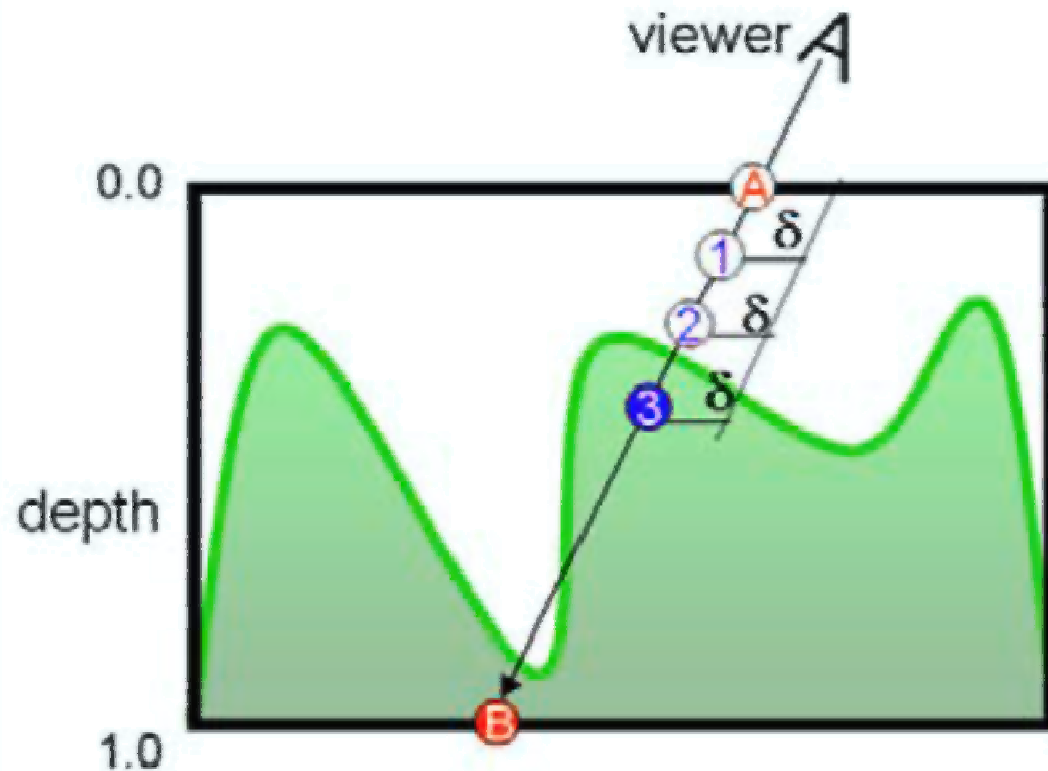
- Improve normal mapping with (local) shadows
- Preprocess: compute  $n$  horizon values per texel
- Runtime:
  - Interpolate horizon values
  - Shadow accordingly



# Horizon Mapping Examples



- At runtime: perform ray casting in the pixel shader
  - Calculate entry (A) and exit point (B)
  - March along ray until intersection with height field is found
  - Binary search to refine the intersection position





# Relief Mapping Examples



**Texture mapping**



**Parallax mapping**



**Relief mapping**



- Parallax-normalmapping
  - ~ 20 ALU instructions
- Relief-mapping
  - Marching and binary search:
  - ~300 ALU instructions
  - + lots of texture lookups



- Higher-Order surface approximation relief mapping
  - Surface approximated with polynomes
  - Produces silhouettes
- Prism tracing
  - Produces near-correct silhouette
- Many variations to accelerate tracing
  - Cut down tracing cost
  - Shadows in relief



- DCC Packages (Blender, Maya, 3DSMax)
- Nvidia Normalmap Filter for Photoshop or Gimp Normalmap filter
  - Create Normalmaps directly from Pictures
    - Not accurate!, but sometimes sufficient
- NVIDIA Melody
- xNormal (free)
- Crazybump (free beta)
  - Much better than PS/Gimp Filters!
- Tangent space can be often created using graphics/game engine



- Download FXComposer and Rendermonkey
  - Tons of shader examples
  - Optimized code
  - Good IDE to play around
- Books:
  - GPU Gems Series
  - ShaderX Series
  - Both include sample code!

